SCIENTIFIC REPORTS

Received: 14 March 2016 Accepted: 26 May 2016 Published: 15 June 2016

OPEN Electronic structure and electronphonon coupling in TiH₂

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Calculations using first principles methods and strong coupling theory are carried out to understand the electronic structure and superconductivity in cubic and tetragonal TiH₂. A large electronic density of states at the Fermi level in the cubic phase arises from $Ti-t_{2a}$ states and leads to a structural instability towards tetragonal distortion at low temperatures. However, constraining the in-plane lattice constants diminishes the energy gain associated with the tetragonal distortion, allowing the cubic phase to be stable at low temperatures. Calculated phonon dispersions show decoupled acoustic and optic modes arising from Ti and H vibrations, respectively, and frequencies of optic modes to be rather high. The cubic phase has a large electron-phonon coupling parameter λ and critical temperature of several K. Contribution of the hydrogen sublattice to λ is found to be small in this material, which we understand from strong coupling theory to be due to the small H-s DOS at the Fermi level and high energy of hydrogen modes at the tetrahedral sites.

Materials containing light elements such as hydrogen are promising candidates for high temperature superconductors¹, particularly under pressure when the materials become metallic². Recent discovery of superconductivity above 200 K in H₂S under pressure³ has confirmed these predictions^{4,5} and reinvigorated the excitement in the potential of these systems. Metal-hydrogen systems are a class of hydrogen rich materials characterized by large electronic densities at the Fermi level at ambient pressure⁶. Although critical temperatures $(T_{.})$ are much smaller than hydrogen rich materials under pressure, metal-hydrides such as Th₄H₁₅ ($T_c \sim 8$ K) and PdH_x ($T_c \sim 11$ K) are superconductors at ambient pressure^{7,8}, and high pressure phases of $TiD_{0.74}$ have been reported to be superconducting near 4 K9.

In conventional superconductors, the relevant parameter is the electron-phonon coupling, defined within strong coupling theory¹⁰ as:

$$\lambda = \frac{N(\varepsilon_F) \langle I^2 \rangle}{M \langle \omega^2 \rangle}.$$
(1)

The numerator contains the electronic terms, where $N(\varepsilon_F)$ is electron density at the Fermi level and $\langle I^2 \rangle$ is the electron-ion matrix element averaged over the Fermi sea. The denominator arises from lattice phonons, M is ion mass and $\langle \omega^2 \rangle$ is the average phonon frequency squared¹⁰. Inspection of Eq. (1) reveals that large electron phonon coupling (and consequently high critical temperatures T_{i}) is realized in a system with a large density of electronic states at the Fermi level, relatively low phonon energies and/or low ionic mass⁶.

Dihydrides of the form MH_2 (M = Ti, Zr, Nb etc.) with face centered cubic structure exhibit a sharp peak in density of states at the Fermi level and have soft phonon modes from the heavy metal atoms, thus making them good candidates for superconductivity^{11,12}. Unfortunately, the large $N(\varepsilon_F)$ coupled with weak lattice stiffness leads to a martensitic phase transition to a body-centered tetragonal structure below 310 K^{13,14}. This transition diminishes the density of electrons at the Fermi level. Consequently, AC susceptibility measurements of slightly hydrogen rich TiH_{2.07} found a superconducting transition to be very small, below 15 mK¹⁵. Theoretical calculations on related compounds ZrH_2 and NbH₂ suggested the T_c in these systems to be lower than that of pure metals¹⁶.

In this manuscript, we present results of first principles calculations carried out to understand the electronic structure and electron-phonon interaction in cubic and tetragonal phases of TiH₂. We find that constraining the in-plane lattice constants close to 3.13 Å can preserve the cubic phase at low temperatures which we find to have large electron phonon coupling and T_c of several K. Surprisingly, the contribution of H vibrations to λ is found to be small in this material and possible reasons are discussed.

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Figure 1. Electronic band structure for the cubic fcc (left) and tetragonal bct (right) phases of TiH₂. Red and blue colored bands correspond to Ti- e_g and Ti- t_{2g} characters, respectively.

Results

In the cubic phase $(Fm\bar{3}m)$ of TiH₂, the Ti ions occupy a face centered lattice (fcc) with a = 4.42 Å in which the H ions occupy tetrahedral sites¹⁷. The Ti ions are surrounded by eight H ions forming a cube with Ti-H distance of 1.92 Å. Below 310 K, a tetragonal phase is stable in which the unit cell is compressed along *c* and expanded along other directions. In the smallest cell with full symmetry, the Ti ions form a body centered tetragonal (bct) lattice with space group *I4/mmm* with a = b = 3.2 Å and c = 4.28 Å (note that, in this cell $c/a = \sqrt{2}$ corresponds to the cubic lattice)¹⁸. Other than a small increase in Ti-H bondlengths to 1.93 Å as a consequence of a small squeeze of TiH₈ cube along *c*, the bonds remain unchanged.

Electronic structure. The band structures for fcc and bct phases are shown in Fig. 1. The bands around -8 eV and +8 eV (not shown) have strong Ti-*s* character which leads to approximately half filled *s* band. In the fcc phase, under the influence of the cubic crystal field, the e_g and t_{2g} states are split by 1.8 eV at the Γ point with triply degenerate t_{2g} states occupied just below the Fermi level and the doubly degenerate e_g states empty. Away from Γ , the t_{2g} bands couple strongly with H-*s* states and exhibit a large dispersion from -6 to 6 eV. In contrast, the e_g bands are narrower with a bandwidth of 6 eV. The band starting at -5 eV at *L* and increasing along $L - \Gamma - X$ has strong H-*s* character and is half filled, indicating $1s^1$ configuration for H ions.

The tetragonal distortion breaks the cubic crystal field¹⁹ and lifts the degeneracy of t_{2g} into a singlet d_{xy} band just above ε_F and a doublet d_{xz}/yz below. The e_g also splits into $d_{x^2-y^2}$ (above) and d_{z^2} (below). Otherwise, as the figure shows, the dispersion of bands at generic k-points are hardly affected by the distortion.

The total and partial density of states (DOS) plotted in Fig. 2 suggest electronic configuration of $3d^34s^1$ for Ti. The DOS is dominated by a peak around -6 eV mostly containing H-*s* and Ti- t_{2g} states and a broad feature around the Fermi level originating from Ti-*d* states. Due to the nearly flat bands in the $\Gamma - L$ direction, there is a large density at the Fermi level $N(\varepsilon_F) = 2.59 \text{ eV}^{-1}$ per Ti. Most of this density comes from the t_{2g} states with $N_{t2g}(\varepsilon_F) = 1.92$ and $N_{eg}(\varepsilon_F) = 0.67 \text{ eV}^{-1}$. Note that the contribution from H-*s* states to the density is quite small at $N_s(\varepsilon_F) = 0.001 \text{ eV}^{-1}$ per H, which has important implications for superconductivity as will be discussed later. The crystal field in the tetragonal structure breaks the degeneracy of the states and splits the peak in the DOS at ε_F (see Fig. 2). There are two peaks at -0.16 and 0.27 eV with peak heights of 1.7 and 2.0 eV⁻¹, respectively. The Fermi level sits in the valley, with $N(\varepsilon_F) = 0.97 \text{ eV}^{-1}$, which is a more than a 60% drop from that of the cubic phase. Since the electron-phonon coupling strength is proportional to $N(\varepsilon_F)$, we can see why the tetragonal phase is not a favorable candidate for superconductivity.

The Fermi surfaces for the two phases of TiH_2 are shown in Fig. 3. As can be seen from the band structure plots in Fig. 1, two bands cross the Fermi level, leading to large electron pockets around Γ . In the tetragonal phase, the band splittings lead to additional features in the Fermi surfaces. Figure 3 suggests that Fermi surfaces in TiH₂ are not amenable to nesting induced instabilities.

The Jahn-Teller (JT) theorem stipulates that a non-linear molecule with degenerate electronic ground states will be unstable against symmetry lowering distortion²⁰. For a single electron in doubly degenerate states, JT distortion Q lowers the energy of one of the states by -gQ and the equilibrium configuration is decided by the competition between this and an elastic term of the form $KQ^2/2$, where g and K are proportionality constants. In a solid, the expression also involves a positive band energy term since electron hopping prefers degenerate states²¹. It can be shown that, JT distortion occurs in a material when the coupling parameter g is sufficiently strong, viz., $g^2/(WK) > \mu_c$ where W is the bandwidth and μ_c is the critical limit²¹, which is $\mu_c \sim 0.5$ for a two state, one electron system discussed above. Incidentally, in systems with heavy elements such as HfH₂, spin-orbit coupling partially lifts the degeneracy of the levels near ε_F^{14} , but the system remains JT active, since the distortion Q can further lower the energy.



Figure 2. Total and partial density of states for the cubic fcc (top) and tetragonal bct (bottom) phases of TiH₂. The Fermi level is set to 0 eV. Lines indicate total (black), Ti- e_g (blue) and Ti- t_{2g} (red) characters while green shaded area is H-s.



Figure 3. Calculated Fermi surfaces for the (**a**) fcc and (**b**) bct phases corresponding to the two bands that cross the Fermi level.

In the relaxed structure within GGA, the lattice constants of TiH₂ tetragonal phase are a = b = 3.21 Å and as can be seen from Fig. 4(a), the energy is minimum for $c/a=0.92\sqrt{2}$. Decreasing *a* shifts the minimum to higher c/a and for a = 3.13 Å the optimal $c/a = \sqrt{2}$, making the cubic phase stable. As shown in Fig. 4(b), the ratio c/a decreases almost linearly as the in-plane lattice constants are increased. Thus, constraining the in-plane lattice constants close to a = b = 3.13 Å can shift the stable phase of TiH₂ close to cubic, which may be achievable in experiments by growing the material on a suitable substrate with appropriate lattice constants.

Electron-phonon coupling. The phonon dispersion curves and corresponding densities of states for the cubic and tetragonal structures in the experimental structures calculated using density functional perturbation theory are plotted in Fig. 5. As expected, the acoustic dispersions have predominantly Ti character while the optic modes that lie between 33–40 THz originates from hydrogen vibrations. We find soft modes in the phonon dispersion curves of the cubic phase around the Γ point, which can be suppressed by choosing a larger temperature smearing, σ , of electronic states near the Fermi level¹². Figure 5 is calculated with $\sigma = 0.2$ eV, which barely removes the negative frequencies in the fcc phase ($\sigma = 0.14$ eV is used for the bct phase). Similar to the electronic band structure, the reduced symmetry in the tetragonal phase lifts the degeneracies of dispersions at high symmetry points, but leaves very similar phonon density of states in both structures.

To get the strength of coupling between electrons and phonons, we calculated the Eliashberg spectral function $\alpha^2 F(\omega)$ using QE package for fcc and bct phases, that are shown in Fig. 6. We find that in both cases the function has two main peaks; one below 10 THz and another between 33–42 THz. The low energy peak arises from the



Figure 4. (a) Energy variation of the system as function of c/a ratio for fixed in-plane lattice constant *a* as marked left of the curves. The energy is shifted by its value when $c/a = \sqrt{2} (E_c)$. The minima of the curves are marked by dashed vertical lines. (b) Variation of c/a corresponding to minimum energy as a function of *a*. The ratio $c/a = \sqrt{2}$ corresponds to the cubic phase.



Figure 5. The phonon dispersions and density of states for cubic (left) and tetragonal (right) phases of TiH₂. Electronic smearing of $\sigma = 0.2 \text{ eV}$ is used to remove the negative frequencies in the cubic phase. Partial DOS for Ti (blue) and H (red) are plotted in the right panel.

acoustic modes, while the high energy peak arises from optic modes that are nearly decoupled in these systems. As a consequence of the reduction of $N(\varepsilon_F)$ in the case of the tetragonal phase $\alpha^2 F(\omega)$ is smaller. The electron-phonon coupling parameter, $\lambda(\omega)=2\int_0^{\omega} d\omega \alpha^2 F(\omega)/\omega$, is also plotted as dotted lines in Fig. 6, which show that Ti modes contribute predominantly towards λ . In the cubic phase, we get $\lambda_{\rm fcc}=0.87$, which drops to $\lambda_{\rm bct}=0.33$ in the bct phase. More accurate estimate of λ values calculated by summing over the reciprocal space $\lambda(q)$ yield, $\lambda_{\rm fcc}=0.84$ and $\lambda_{\rm bct}=0.22$. Using these values in the simplified Allen-Dynes formula²² to calculate the T_c with the typical value of $\mu^*=0.1$ for the Coulomb coefficient²³, we get $T_c=6.7$ K in the cubic phase, which drops to $T_c=2$ mK in the tetragonal phase. In comparison, the experiments on TiH_{2.07} report superconducting behavior below 15 mK¹⁵, which is reasonably close to our calculated value for bct phase. We note that the $\lambda_{\rm fcc}$ is comparable to that of MgB₂, which is 127.1 K, compared to $\omega_{\log}=650$ K in MgB₂²⁴.

The contribution of hydrogen modes to electron-phonon coupling and consequently to T_c is much smaller than other hydrides such as PdH and H₃S, where hydrogen plays a dominant role^{16,25}. To understand this, we calculate λ using McMillan's strong coupling theory¹⁰ given in Eq. (1). Since the acoustic and optic modes have



Figure 6. Calculated Eliashberg spectral function $\alpha^2 F(\omega)$ (solid lines) and electron-phonon coupling parameter $\lambda(\omega)$ (dashed lines) for fcc (black) and bct (blue) phases.

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negligible hydrogen and metal character respectively, we can write $\lambda = \lambda_{Ti} + 2\lambda_{H}$. We calculate the numerator in Eq. (1), $\eta = N(\varepsilon_F) \langle I^2 \rangle$, with the help of Gaspari-Gyorffy theory²⁶,

$$\eta = \frac{4m\varepsilon_F}{\hbar^2 \pi^2} \frac{1}{N(\varepsilon_F)} \sum_{l=0}^{2} (l+1) \sin^2(\delta_{l+1} - \delta_l) \nu_l \nu_{l+1},$$
(2)

where, $\nu_l = N_l(\varepsilon_F)/N_l^{(1)}$ is the ratio of the *l*th partial DOS at Fermi energy to single-site DOS at Fermi energy and δ_l are the scattering phase shifts for the angular momentum *l*. Taking the calculated phase shifts and partial densities for cubic TiH₂ from ref. 27, we get: $\eta_{Ti} = 3.9 \text{ eV}/\text{Å}^2$ and $\eta_H = 0.07 \text{ eV}/\text{Å}^2$. The η_H is much smaller than that of Ti in this system compared to PdH where $\eta_{Pd}/\eta_H = 1.38^{16}$. It is a consequence of much smaller H-*s* density at the Fermi level in TiH₂, which is only 0.001 eV⁻¹ (Fig. 2), in contrast to PdH, where it is 0.019 eV⁻¹.

The renormalized phonon frequencies $\langle \omega^2 \rangle$ are calculated using the definitions in ref. 10 and our phonon data to get, $\langle \omega^2 \rangle_{Ti} = 6.72 \times 10^{26} (rad/sec)^2$ and $\langle \omega^2 \rangle_H = 5.32 \times 10^{28} (rad/sec)^2$. The frequencies of hydrogen modes in TiH₂ are higher than those in other hydrogen rich systems, which also lead to the smaller contribution of hydrogen modes to λ in this system. Putting everything together, we get for the cubic phase of TiH₂:

$$\lambda_{\mathrm{T}i} = 1.17, \ \lambda_{\mathrm{H}} = 0.012 \tag{3}$$

Adding up, we get $\lambda_{fcc} = 1.19$ from strong coupling theory, which overestimates λ from our first principles calculated value of 0.84. However, considering the crudeness of the strong coupling model, the agreement is reasonable. Crucially, it explains the insignificant contribution from hydrogen in this system, due to a small density of H electronic states at the Fermi energy coupled with unusually high energies of the hydrogen sublattice vibrations in TiH₂. In H₃S, the $N(E_F)$ at high pressures, although small, is dominated by H-s states and is crucial for the reported high T_c . To enhance the T_c in transition metal hydrides such as TiH₂, will likely require manipulating the electronic structure via pressure, doping or replacing Ti with other transition metal ions to increase the H-s density near the Fermi level. We hope that this work inspires further studies to this end.

Discussion

In summary, our theoretical calculations show that the cubic phase of TiH₂ has large electron density at the Fermi level arising from nearly flat triply degenerate Ti- t_{2g} bands in the $\Gamma - L$ direction. This large density of $N(\varepsilon_F) = 2.59 \, \mathrm{eV^{-1}}$ combined with relatively weak structural elastic energy, leads to a cubic-to-tetragonal distortion at low temperatures. However, constraining the in-plane lattice constants to 3.13 Å makes the cubic geometry energetically favorable, which may be possible to achieve by a suitably lattice matched substrate. The calculated phonon dispersions for the cubic and tetragonal phases show many similarities owing to the smallness of the distortion. We estimate that, $\lambda_{fcc} = 0.84$, $T_c = 6.7 \, \mathrm{K}$ for the cubic phase and $\lambda_{bct} = 0.22$, $T_c = 2 \, \mathrm{mK}$ for the tetragonal phase. The contribution of hydrogen modes to the electron-phonon coupling is small, which is a consequence of small H-s density at the Fermi level and unusually hard hydrogen modes in this system. Alloying with other transition metals and with isotopes of hydrogen can potentially enhance the contribution from the hydrogen sublattice, by shifting the H-s levels and lowering optic modes, respectively, and require further investigations.

Methods

First principles calculations within density functional theory and the generalized gradient approximation $(GGA)^{28}$ are employed. The VASP code^{29,30} with projector augmented waves as basis is used for the electronic structure calculations. An energy cutoff of 450 eV and *k* space sampling on a 24 × 24 × 24 grid are found sufficient to get converged results. The phonon dispersions and electron-phonon couplings are obtained using the Quantum Espresso package³¹ with ultrasoft pseudopotentials, energy cutoff of 544 eV (40 Ry) and a *q*-grid of $8 \times 8 \times 8$.

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Acknowledgements

This research was supported by the US Department of Energy, Basic Energy Sciences, Office of Science, Materials Sciences and Engineering Division.

Author Contributions

K.V.S. carried out the calculations. K.V.S., L.L. and D.S.P. analyzed the results. All authors reviewed the manuscript.

Additional Information

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Shanavas, K. V. *et al.* Electronic structure and electron-phonon coupling in TiH₂. *Sci. Rep.* **6**, 28102; doi: 10.1038/srep28102 (2016).

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